

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q79749

Jyunko IWASAKI, et al.

Appln. No.: 10/773,147

Group Art Unit: 2633

Confirmation No.: 5423

Examiner: Unknown

Filed: February 9, 2004

For:

OPTICAL TRANSMISSION SYSTEM WITH OPTICAL AMPLIFIER REPEATERS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

- Japanese Unexamined Patent Application Publication No. 2002-40495, published
 February 6, 2002.
- Japanese Unexamined Patent Application Publication No. 2002-40496, published
 February 6, 2002.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/773,147

on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after

filing a request for continued examination (RCE) under §1.114, and therefore, no Statement

under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant states that above references 1-2 are discussed within the

specification beginning at page 4, lines 5 and 6, respectively.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted.

Registration No. 25,665

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WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: May 27, 2004

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Substitute for Form 1449 A & B/PTO	Complete if Known		
Substitute for Porini 1447 A & D/I 10	Application Number	10/773,147	
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STATEMENT BY APPLICANT	Filing Date	February 9, 2004	
7 min 2	First Named Inventor	Jyunko IWASAKI	
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of 1	Attorney Docket Number	Q79749	

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Examiner Initials*	Cite No.1	Foreign Patent Document		Publication Date	Name of Patentee or		
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		JP :	2002-40495	Α	02-06-2002		No
		JP	2002-40496	A	02-06-2002		No
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NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Initials* No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.		
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Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.